

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Johe IKEDA et al.

: Attn: BOX PCT

Serial No. NEW

Docket No. 2001 0515A

Filed April 26, 2001

MONOCLONAL ANTIBODIES AGAINST HUMAN APOPTOSIS INHIBITORY PROTEIN NAIP AND METHOD FOR ASSAYING THE NAIP [Corresponding to PCT/JP99/05841 Filed October 22, 1999]

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents, Washington, DC 20231

Sir:

Pursuant to the provisions of 37 CFR 1.56, 1.97 and 1.98, Applicants request consideration of [X] the references listed on attached form PTO-1449 and/or [] the additional information identified below in paragraph 3. A legible copy of each reference listed on the form PTO-1449 and each U.S. patent application listed below is enclosed, except a copy is not provided for each reference previously cited by or submitted to the Patent Office in prior parent application Serial No. .

1a. [X] This Information Disclosure Statement is submitted:

within three months of the filing date (or of entry into the National Stage) of the aboveentitled application, or

before the mailing date of the first Office Action on the merits,

and thus no certification and/or fee is required.

lb.	Π	This	Information	n Disclosure	Statement	is submitted
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after the events of above paragraph 1a and prior to the mailing date of a final Office Action or a Notice of Allowance or an action which otherwise closes prosecution in the application, and thus:

- (1) [] the certification of paragraph 2 below is provided, or
- (2) [] the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.
- 1c. [] This Information Disclosure Statement is submitted:

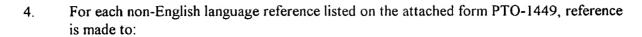
after the mailing date of a final Office Action or Notice of Allowance or action which otherwise closes prosecution in the application, and prior to payment of the issue fee, and thus:

the certification of paragraph 2 below is provided, and

the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.

2. It is hereby certified

- a. [] that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Statement, or
- b. [] that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three months prior to the filing of the Statement.
- 3. [] Consideration of the following list of additional information (including any copending or abandoned U.S. application, prior uses and/or sales, etc.) is requested.



- a. [] a full or partial English language translation submitted herewith,
- b. [] a foreign patent office search report (in the English language) submitted herewith,
- c. [] the concise explanation contained in the specification of the present application at page,
- d. [] the concise explanation set forth in the attached English language abstract,
- e. [] the concise explanation set forth below or on a separate sheet attached to the reference:
- 5. [X] A foreign patent office search report citing one or more of the references is enclosed.

Respectfully submitted,

Johe IKEDA et al.

By

Registration No. 33,367

Attorney for Applicants

WMC/dlk Washington, D.C. 20006-1021 Telephone (202) 721-8200 Facsimile (202) 721-8250 April 26, 2001

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Sheet 1 of 1												
FORM RTO 1449 (/		MENT OF COMME	OCE.	ATTY DOCKET NO. 2001_0515A PRIVE 09/830338;								
. U.S., DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE				APPLICANT Johe IKEDA et al.								
		ES CITED BY APPLIC I sheets if necessary)										
Date Submitted to PTO: April 26, 2001				April 26, 2001								
	•			U.S. PATENT DOCUMENTS								
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE					
	АА											
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		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO					
	AJ	97/26331	7/1997	wo								
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		отн	IER DOCUMENT(S	i) (Including Author, Title, Date, F	Pertinent Pages, Etc.)							
	AO	Biological Abstracts, No. 199799598887, D. G. Xu et al., "Distribution of Neuronal Apoptosis Inhibitory Protein-Like Immunoreactivity in the Rat Central Nervous System", & Journal of Comparative Neurology, 1997, Vol. 382, No. 2, pp. 247-259.										
	AP	Natalle Roy et al., "The Gene for Neuronal Apoptosis Inhibitory Protein is Partially Deleted in Individuals with Spinal Muscular Atrophy", Cell, 1995, Vol. 80, No. 1, pp. 167-178.										
	ΩA		***									

DATE CONSIDERED

EXAMINER

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.